# Faster algorithm for the Shortest Vector Problem

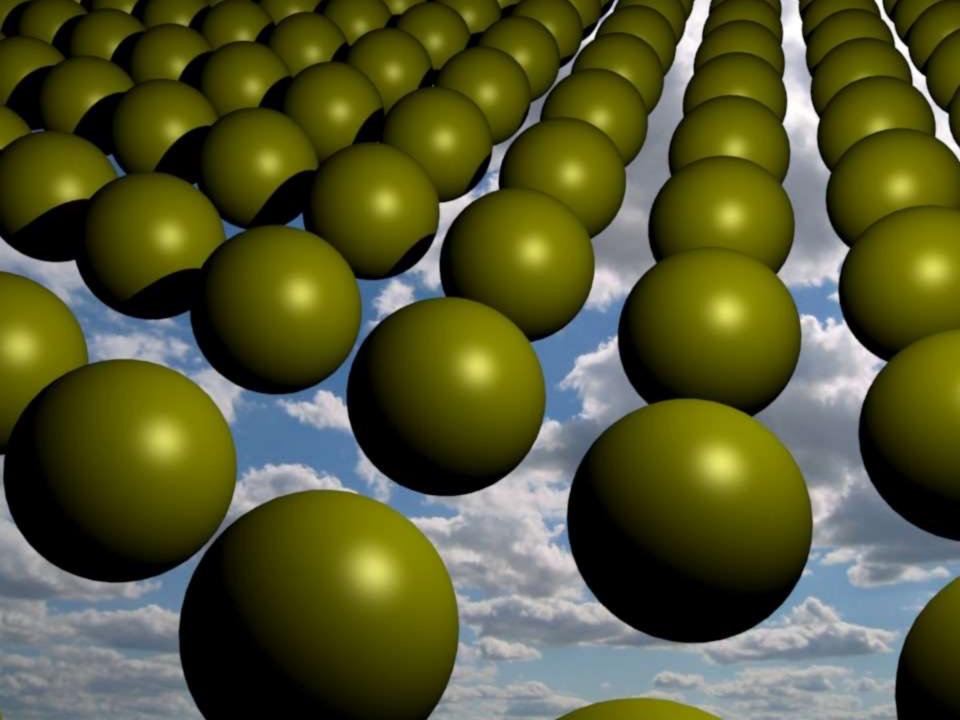
Oded Regev Courant Institute, NYU







(joint with Aggarwal, Dadush, and Stephens-Davidowitz)



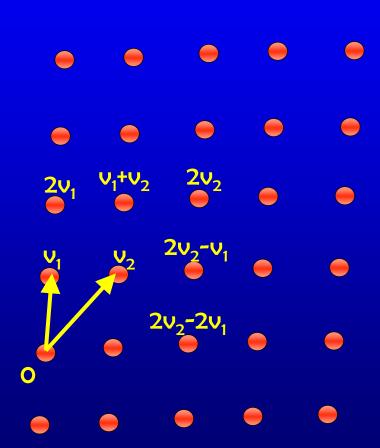
#### Lattices

A lattice is a set of points

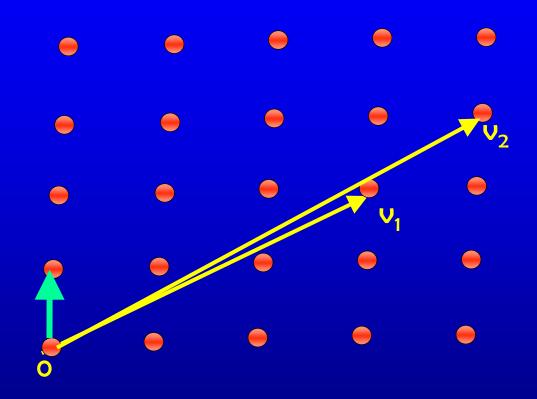
 $L=\{a_1v_1+...+a_nv_n|a_i \text{ integers}\}$ 

for some linearly independent vectors  $v_1,...,v_n$  in  $\mathbb{R}^n$ 

We call v<sub>1</sub>,...,v<sub>n</sub> a basis of L

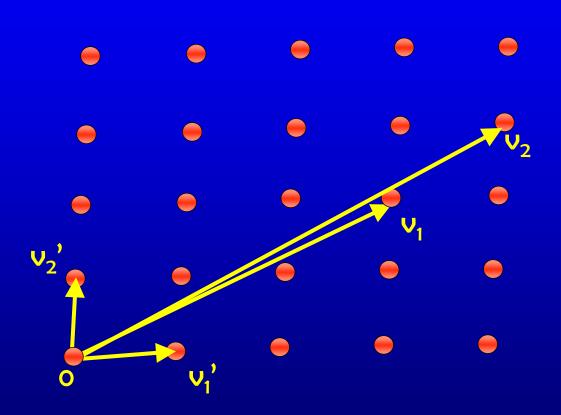


# **Shortest Vector Problem (SVP)**



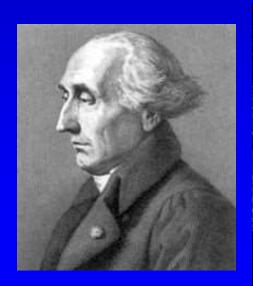
- SVP: Given a lattice, find the shortest vector
- Best known algorithm runs in time 2<sup>O(n)</sup>
  [AjtaiKumarSivakumarO1,...]

# Basis is not Unique



# **History**

- Geometric objects with rich mathematical structure
- Considerable mathematical interest, starting from early work by Lagrange 1770, Gauss 1801, Hermite 1850, and Minkowski 1896.









## The LLL Algorithm

[LenstraLenstraLovàsz82]



- An efficient algorithm that outputs a "somewhat short" vector in a lattice
- Applications include:
  - Solving integer programs in a fixed dimension,
  - Factoring polynomials over rationals,
  - Finding integer relations:

$$5.709975946676696... = 4+3\sqrt{5}$$



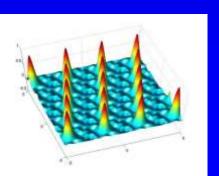
 Attacking knapsack-based cryptosystems [LagariasOdlyzko'85] and variants of RSA [Håstad'85, Coppersmith'01]

## Lattices and Cryptography

- Lattices can also be used to create cryptography
- This started with a breakthrough of Ajtai in 1996
- Cryptography based on lattices has many advantages compared with 'traditional' cryptography like RSA:



- It has strong, mathematically proven, security
- It is resistant to quantum computers
- In some cases, it is much faster
- It can do more: fully homomorphic encryption!





## **Applications of Lattice-based Crypto**

- Public Key Encryption [RO5, KawachiTanakaXagawaO7, PeikertVaikuntanathanWatersO8]
- CCA-Secure PKE [PeikertWaters08, Peikert09]
- Identity-Based Encryption [GentryPeikertVaikuntanathan08]
- Oblivious Transfer [PeikertVaikuntanathanWaters08]
- Circular-Secure Encryption [ApplebaumCashPeikertSahai09]
- Leakage Resilient Encryption [AkaviaGoldwasserVaikunathan09, DodisGoldwasserKalaiPeikertVaikuntanathan10, GoldwasserKalaiPeikertVaikuntanathan10]
- Hierarchical Identity-Based Encryption
   [CashHofheinzKiltzPeikert09, AgrawalBonehBoyen09]
- Fully Homomorphic Encryption
   [BrakerskiVaikuntanathan10+11,Gentry11,Brakerski12]
- Learning Theory [KlivansSherstovO6]
- And more...

# Progress on provable SVP algs

#### **Time**

[Kan86]

$$n^{O(n)}$$

[AKS01]

$$2^{O(n)}$$

[NV08, PS09, MV10a]...

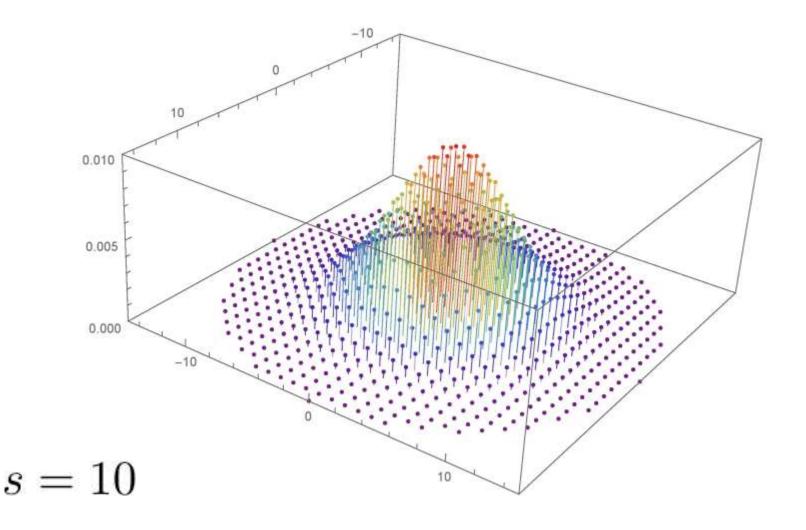
$$2^{2.465n+o(n)}$$

[MV10b] Det

$$2^{2n+o(n)}$$

#### Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$

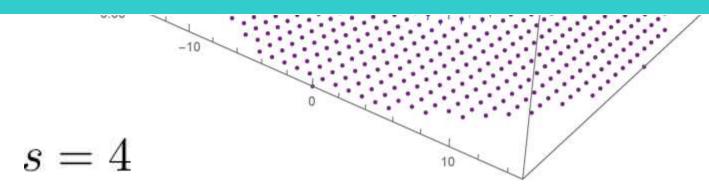


#### Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$



If we can obtain discrete Gaussian samples for small enough s, we can solve SVP



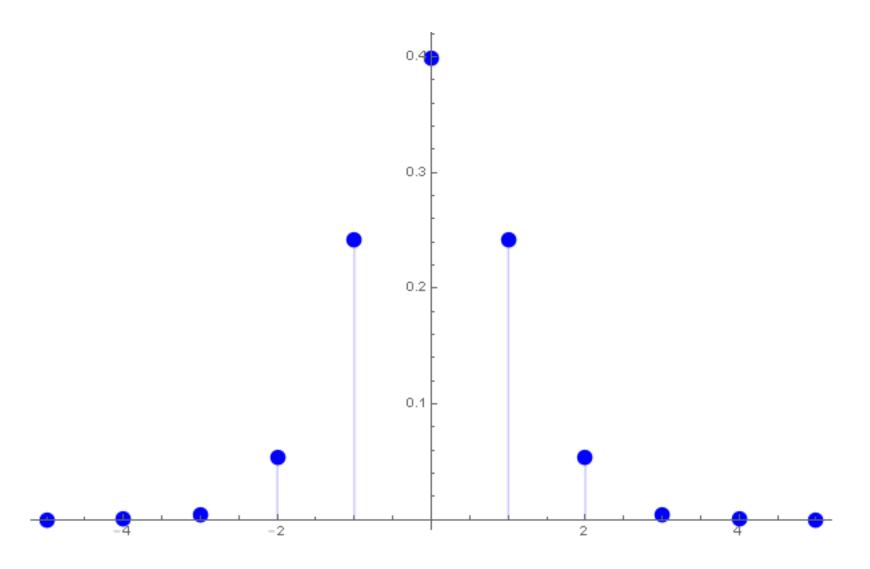
# Obtaining discrete Gaussian samples

- It is easy to obtain samples for very large s [GPV08]
- Our goal: take samples of width s and output samples with smaller width, say,  $s/\sqrt{2}$ 
  - Then we can simply repeat
- Naive attempt: given x output x/2
  - Problem: x/2 is not in the lattice!
- Second naive attempt: only take x in 2L, and then output x/2
  - Correct output distribution, but we keep only 2<sup>-n</sup> of the samples

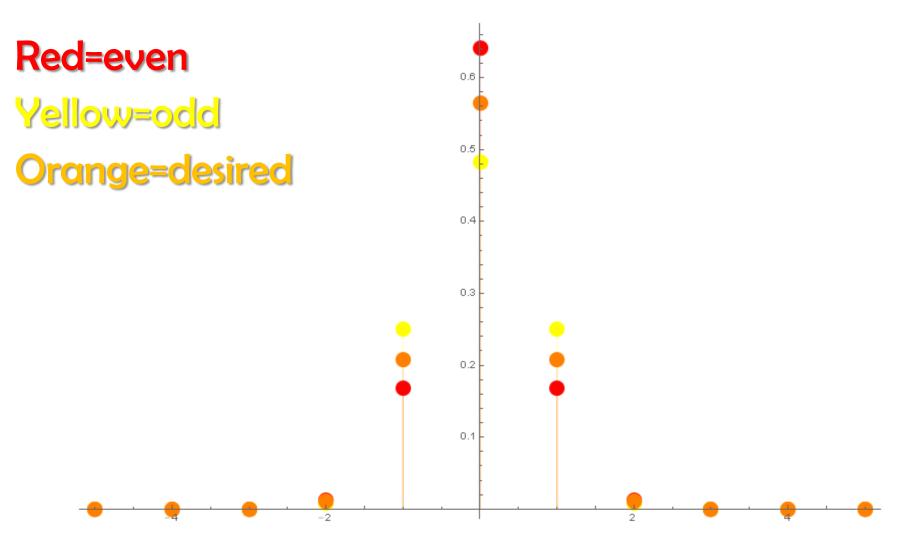
# Obtaining discrete Gaussian samples

- A better attempt: partition the samples according to their coset of 2L
- Then take two samples from a coset and output their average
  - Notice that if x,y are in the same coset of 2L, then x+y is in 2L, and so (x+y)/2 is in L
- Intuitively, since x and y are Gaussian with s, then x+y is Gaussian with  $\sqrt{2}$ ·s, and (x+y)/2 is Gaussian with  $s/\sqrt{2}$
- But is it distributed correctly?

# Input Distribution

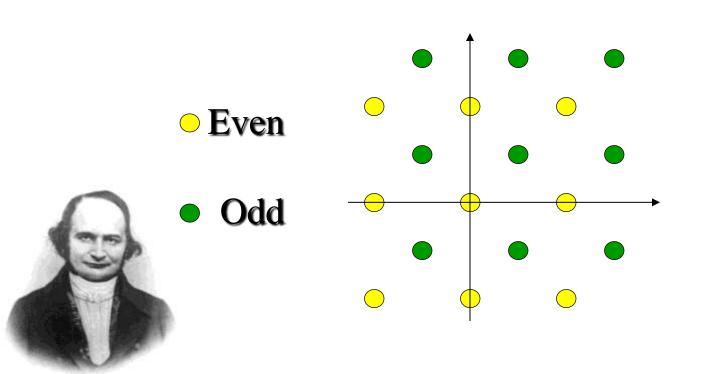


# **Output Distribution**



# Obtaining discrete Gaussian samples

 It turns out that by taking "even" with probability p<sup>2</sup><sub>even</sub> and "odd" with probability p<sup>2</sup><sub>odd</sub>, we get exactly the discrete Gaussian distribution





# **Square Sampling**

- More generally, we bucket the samples into 2<sup>n</sup> buckets, based on their coset of 2L
- We then pick a bucket with probability proportional to square of its probability, and then output (x+y)/2 for two vectors in the bucket
- For this we use a "square sampling" procedure: given samples from a distribution  $(p_1,...,p_N)$ , output samples from the distribution  $(p_1^2,...,p_N^2)/\Sigma p_i^2$ 
  - We do this using rejection sampling
  - The loss rate is  $\Sigma p_i^2/p_{max}$
  - Total loss is 2<sup>n/2</sup> due to magic!

# Summary

- In time 2<sup>n</sup> we are able to sample from the discrete
   Gaussian distribution (of any radius)
  - This implies a 2<sup>n</sup> time algorithm for SVP
- A close inspection of the algorithm shows that 2<sup>n/2</sup> should be the right answer
  - So far we are only able to achieve that above smoothing
  - This implies 2<sup>n/2</sup> algorithm for O(1)-GapSVP
  - Puzzle: given coin with unknown heads
     probability p; output a coin with probability √p

# Thanks!

Questions?